Cold air drainage flows subsidize montane valley ecosystem productivity

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Abstract

In mountainous areas, cold air drainage from high to low elevations has pronounced effects on local temperature, which is a critical driver of many ecosystem processes, including carbon uptake and storage. Here, we leverage new approaches for interpreting ecosystem carbon flux observations in complex terrain to quantify the links between macro-climate condition, drainage flows, local microclimate, and ecosystem carbon cycling in a southern Appalachian valley. Data from multiple long-running climate stations and multiple eddy covariance flux towers are combined with simple models for ecosystem carbon fluxes. We show that cold air drainage into the valley suppresses local temperature by several degrees at night and for several hours before and after sunset, leading to reductions in growing season respiration on the order of ~8%. As a result, we estimate that drainage flows increase growing season and annual net carbon uptake in the valley by >10% and >15%, respectively, via effects on microclimate that are not be adequately represented in regional- and global-scale terrestrial ecosystem models. Analyses driven by chamber-based estimates of soil and plant respiration reveal cold air drainage effects on ecosystem respiration are dominated by reductions to the respiration of aboveground biomass. We further show that cold air drainage proceeds more readily when cloud cover and humidity are low, resulting in the greatest enhancements to net carbon uptake in the valley under clear, cloud-free (i.e., drought-like) conditions. This is a counterintuitive result that is neither observed nor predicted outside of the valley, where nocturnal temperature and respiration increase during dry periods. This result should motivate efforts to explore how topographic flows may buffer eco-physiological processes from macroscale climate change.

Keywords: complex terrain, drainage flows, drought, ecosystem respiration, gross ecosystem productivity, microclimate, net ecosystem exchange, net ecosystem productivity

Introduction

In the more than 30 million km² of the terrestrial surface that is mountainous (Kapos et al., 2000), topographic features can significantly decouple micro- and macro-climate dynamics (Dobrowski, 2011), where microclimate varies over scales of ~1 km, and macro-climate varies over spatial scales of 10³ km or more. For example, vegetation in valley positions has been shown to be the beneficiary of hydraulic subsidies, (Weyman, 1973; Crimmins et al., 2011; Dobrowski, 2011), whereby water draining downslope through soil matrices enhances productivity by reducing the occurrence of soil moisture limitations to photosynthesis and growth. But water is not the only fluid that flows downhill in mountainous areas. Nocturnal surface cooling promotes the frequent development of a stable layer of cold air that also flows downslope and pools in valleys (Daly et al., 2010; Dobrowski, 2011; and see Fig. 1). Cold air drainage and pooling can suppress temperature in valley positions by as much as 5–10 °C (Cox, 1920; Gudiksen et al., 1992), promoting the development of temperature inversions characterized by warmer air aloft. In humid landscapes like the southern Appalachian mountains, temperature inversions driven by cold air drainage can often be ‘seen’ as blankets of fog overlaying low-elevation areas where the air temperature has been lowered below the dew point (Fig. 1c). With this work, we investigate whether downslope cold air subsidies, like downslope soil moisture subsidies, also represent an enhancement to net ecosystem productivity, which is suppressed by high temperatures (Long, 1991).

Drainage flows and cold air pooling occur most frequently at night (Lundquist & Cayan, 2007) and in areas of complex terrain (Chung et al., 2006; Hubbart et al., 2007), although they can also evolve along relatively shallow slopes (Mahrt et al., 2001). Previous work has also suggested that the evolution of drainage flows depends on macroscale climate conditions and specifically that drainage flows tend to evolve more readily under clear sky conditions which promote radiative
surface cooling (Barr & Orgill, 1989; Gudiksen et al., 1992; Daly et al., 2010; and see Fig. 1).

Temperature is a critically relevant variable for a range of eco-physiological processes, including respiration (Reichstein et al., 2005), photosynthesis (Bernacchi et al., 2003), and ontogeny (Bentz et al., 1991; Kramer et al., 2000; Gan, 2004; Thuiller et al., 2005). Previous work has shown that cold air drainage effects on temperature can influence many ecosystem processes, including budburst (Inouye et al., 2000), plant water relations (Hubbart et al., 2007), and seedling regeneration (Blennow & Lindkvist, 2000). However, with a few exceptions (Bolstad et al., 1998; Pypker et al., 2007), studies that link cold air drainage to patterns and trends in ecosystem carbon cycling are scarce. While drainage flows are frequently identified in studies that report observations of ecosystem carbon fluxes, these efforts have historically been focused on the extent to which cold air drainage challenges the interpretation of turbulent flux observations (Lee & Hu, 2002; Mammarella et al., 2007; Leuning et al., 2008; Yi et al., 2008; Aubinet et al., 2010). Specifically, the presence of drainage flows tends to be associated with non-negligible advection of CO₂ and other scalars in the vertical and/or horizontal direction. These advection fluxes are not measured with traditional eddy covariance systems, but must be accounted for to avoid a systematic underestimation of the net ecosystem productivity (NEP).

Recently, methodological advances to observe or infer the magnitude of advection fluxes (Lee & Hu, 2002; Mammarella et al., 2007; Leuning et al., 2008; Feigenwinter et al., 2010; Novick et al., 2014) permit a more confident interpretation of ecosystem-scale fluxes in complex terrain. The work reported here builds upon a recent and exhaustive effort to apply these techniques to characterize the advection flux regime at an Appalachian valley flux monitoring site situated in the Coweeta Hydrologic Laboratory (North Carolina, USA, Novick et al., 2014). That work concluded that periods of significant advection in the Coweeta site, which tend to occur during the hours of midnight and sunrise, can be reliably identified and filtered.

Our work is oriented around the prediction that cold air drainage will enhance net ecosystem productivity in the valley, predicated on the expectation that air temperature will be relatively high in valley positions during daytime periods, but relatively cool at night, as previously reported by Bolstad et al. (1998) and illustrated in Fig 1. To test this prediction, we will answer a series of specific research questions:

1. By how much, and for what periods of the day, does cold air drainage reduce nocturnal temperature in the valley, when compared to higher elevation positions and the region at large?
2. By what magnitude do temperature reductions in the valley affect ecosystem respiration, gross ecosystem productivity, and NEP?
3. To what extent is the evolution of drainage flows linked to macro-climate condition, and what are the

Fig. 1 A conceptualization of how cold air drainage proceeds under low humidity conditions (a), but is impeded by cloud cover (b). Panel (c) shows a photograph of a southern Appalachian valley taken shortly after sunrise. The effect of cold air drainage on the dew point in valley positions can be seen as low-elevation fog cover. Photograph in (c) by Eric Haggart.
carbon cycle consequences of these interactions between macro-climate and cold air drainage?

This work is further motivated by the fact that effects of topographic flows on carbon cycling are not well represented in terrestrial ecosystem models (Rotach et al., 2014), which tend to operate over spatial scales that are coarser than those over which topography-driven variation in microclimate evolves (Daly, 2006; Holden et al., 2011; Ashcroft & Gollan, 2012). Thus, links between drainage flows, and micro- and macro-climate dynamics in complex terrain have historically neither been routinely measured nor adequately represented in models and thus represent an important knowledge gap in our understanding of ecosystem carbon cycling in complex terrain.

Materials and methods

Study area

The experiment was conducted in the southern Appalachian mountains at the Coweeta Hydrologic Laboratory (35.059N, 83.427W, 690–1600 m a.s.l.). Coweeta is a USDA Forest Service Experimental Forest, and National Science Foundation Long-Term Ecological Research (LTER) site. The primary study ecosystem is an ~85-year-old, mixed deciduous hardwood forest located in a sheltered valley at the base of the Coweeta Basin. An eddy covariance flux monitoring tower has been operational in the valley position since 2011. The tower is situated in complex, mountainous terrain characterized by a 30% slope within the flux footprint in the SE direction, and local slopes of <10% to the NE and SW (Fig. 2a). Mean annual temperature is 12.9 °C and mean annual precipitation is 1795 mm. Observed micro-climate dynamics in the valley position are compared to observations collected at other, higher elevation locations in the valley, and also to observations collected across the broader region (Fig. 2a, b). Carbon fluxes in the valley are compared to those collected from a second flux tower located in the Duke Forest in the central North Carolina piedmont (Fig. 2b). The piedmont site is the Duke Hardwood forest Ameriflux site (35°58′41″N, 79°05′59″W, 163 m a.s.l.), which is also an ~80-year-old deciduous hardwood forest. The latitudes at the Coweeta and Duke Forest locations are similar, but the Duke site is located on relatively flat terrain that does not experience significant cold air pooling. All data analyses were limited to the growing season (April–September), which is most relevant for eco-physiological functioning in temperate deciduous forests.

Climate station observations within and outside the Coweeta basin

Hourly averaged air temperature, incident short-wave radiation \( (R_b) \), and vapor pressure deficit \( (D) \) were recorded at a climate station located in the Coweeta valley within 200 m of the flux tower (CS01). Hourly averaged air temperature and \( D \) were also recorded at four other climate stations within the Coweeta basin spanning an elevation range of >700 m, as described in Laseter et al. (2012). The main text of this study was focused comparing data recorded at CS01 to data recorded at a station located in a mid-slope position (CS17, Fig. 2a) that is separated from CS01 by 200 m and 2 km in the vertical and lateral directions. Data from the other stations appear in supporting analyses contained in the supplementary information (hereafter the SI). The dynamics of air temperature, \( D \), and radiation in the Coweeta basin were further compared to observations of those same variables obtained from seven meteorological stations located at lower elevations outside of the Coweeta Basin. They include five stations managed as part of the North Carolina Climate Retrieval and Observations Network of the Southeast (CHRONOS, http://www.nc-climate.ncsu.edu/) database, plus an additional two stations maintained as part of the US Climate Reference Network (USCRN, http://www.ncdc.noaa.gov/crn/). The stations were selected for their proximity to Coweeta (40–200 km), the completeness of their data records, and because they are located on the same side as the Appalachian mid-continental divide as Coweeta. Analysis of all climate station data was also limited to the growing season during the period from 2011–2013.

Observations of soil temperature

Soil temperature was measured continuously from 2011–2013 at depths of 5 and 20 cm at several locations within the Coweeta tower footprint. It was also measured at depths of 5 and 20 cm in the mid-slope position at a 300-m lateral (i.e., along-slope) distance from CS17. In each location (i.e., valley and mid-slope), soil temperature was averaged hourly over all monitoring locations, producing soil temperature time series representative of the top 20 cm of the soil.

Eddy covariance observations of NEP and associated meteorological variables

Hourly averaged eddy covariance fluxes of the net ecosystem productivity (NEP) were recorded on the Coweeta flux tower from 2011–2013. Turbulent fluxes were measured with an EC-155 enclosed path gas analyzer (Campbell Scientific, Logan, Utah, USA) and a sonic anemometer (RM Young 8100, RM Young, Traverse City, MI) as described in detail in Novick et al. (2013). Flux observations at Coweeta are often challenged by advection flows and flux footprint inconsistencies, and the analysis herein relies only on data that have been rigorously quality controlled. Specifically, data are limited to those collected when wind originates from a direction characterized by consistent forest cover and reliable wind characteristics (220–310° from N) and excludes time periods known to be associated with large advection fluxes and low turbulent fluxes (Novick et al., 2014). Turbulent flux data were corrected for within-canopy storage calculated from a vertical gas and temperature profiling system.

A number of meteorological and hydrologic variables were also recorded hourly on the tower, including incident short- and long-wave radiation \( (R_b) \) and \( R_{LW} \), respectively, CNR1,
Kipp & Zonen, Delft, The Netherlands), and soil moisture content (CS616, Campbell Scientific). The integrated soil moisture over the top 30 cm was measured at four locations within the tower footprint.

The Duke Forest Hardwood Site Ameriflux tower was operational from 2001–2008 (Stoy et al., 2008). Eddy covariance fluxes and associated meteorological variables were measured using a LI-7500 open path gas analyzer (Li-Cor Biogeoosciences, Lincoln, NE) and a sonic anemometer (CSAT 3, Campbell Scientific). Quality control for these data and the associated meteorological variables are described in detail in Novick et al. (2015).

Parameterizing simple models from the components of NEP

The temperature dependence of ecosystem respiration (RE) was modeled as:

\[
RE = R_{\text{ref}} \times Q^{T_{\text{air}}/b} 
\]

(1)

The parameters \(a\) and \(b\) were set to 0.1 and –2, respectively, after Tjoelker et al. (2001). The reference respiration rate \((R_{\text{ref}}, \mu\text{mol m}^{-2}\text{ s}^{-1})\) and the temperature sensitivity parameter \(Q\) (unitless) were set as free parameters to be determined by the observations. The gross ecosystem productivity (GEP) was assumed to be a function of \(R_{\text{e}}\) and \(D\) using a model proposed by Lasslop et al. (2010):

\[
\text{GEP} = \frac{2\beta R_{\text{e}}}{\alpha R_{\text{e}} + \beta} 
\]

(2)

where \(\alpha\) is the mean apparent ecosystem quantum yield, and \(\beta\) is the maximum assimilation rate. When \(D > 1\) kPa, the \(\beta\) is modified according to

\[
\beta = \beta_0 \exp[-k(D - 1)] 
\]

(3)

where \(\beta_0\) is a reference assimilation rate at low \(D\), and \(k\) is the humidity response parameter.

Unique, site-specific parameterizations for Eqn 1 were achieved for Coweeta and the Duke Forest using nonlinear regression in MATLAB (Mathworks, Natick, MA). We limited the data driving the regression to all available nocturnal data collected when soil moisture was between 0.3 and 0.46 m3 m−3 in Coweeta, and between 0.2 and 0.4 in the Duke Forest, as RE was determined to be largely insensitive to soil moisture within those ranges (data not shown).

Equation 1 was then forced with the locally observed \(T_{\text{air}}\) time series to generate a continuous estimate of RE, which was subtracted from the observed, quality-controlled NEP to obtain an estimate of GEP. The GEP estimates were then used to parameterize Eqns 2 and 3 for each site, again using nonlinear regression in MATLAB. 95% confidence intervals were determined for the parameters using a nonparametric bootstrap with 1000 iterations. Parameters were generally similar between the sites (see Table 1).

Proxies for cold air drainage

We considered two proxies for the intensity of cold air drainage into the valley. The first was the mean difference in air temperature between the CS01 (valley) and CS17 (midslope) climate stations (hereafter \(T_{\text{VALLEY}} - T_{\text{MIDSLOPE}}\), noting that analyses based on other climate stations in the Coweeta Basin produced similar results (see Appendix S1 of the SI). In the absence of cold air drainage, we would expect that \(T_{\text{VALLEY}} - T_{\text{MIDSLOPE}}\) should always be positive (i.e., the lower elevation valley is warmer). We would further expect \(T_{\text{VALLEY}} - T_{\text{MIDSLOPE}}\) to be highest during midday periods, when the pressure-driven lapse rate may be more similar to the dry adiabatic lapse rate (<10 °C/km, Bolstad et al., 1998) then it would be at night, when relatively humidity is close to 100% and the wet adiabatic lapse rate (<4 °C/km, Bolstad et al., 1998) likely applies.

A second proxy for cold air drainage is the difference in nocturnal temperature in the valley and the broader region (hereafter \(T_{\text{VALLEY}} - T_{\text{REGION}}\), where \(T_{\text{REGION}}\) is the mean air temperature observed among the seven meteorological stations located <200 km from Coweeta (Fig. 2b). When comparing the Coweeta basin to the region at large, we would again expect Coweeta Basin to always be cooler than the surrounding region (as the basin is located at a higher elevation, see SI). In the absence of cold air drainage, we would expect the valley to be coolest during the daytime, when air is drier and the adiabatic lapse rate is steeper. In support of this analysis and all analyses for which the difference between two variables is assessed, the significance of mean difference between two variables is determined from a two-tailed Student’s t-test for the different of the means. Results of the t-test are reported as \(P\)-values.

Disentangling radiation and cold air drainage effects on temperature

The \(T_{\text{VALLEY}} - T_{\text{REGION}}\) is sensitive to variation in local radiation driven by patterns of orographic precipitation. We used a radiation balance model to disentangle the effects of solar radiation and cold air drainage on the observed \(T_{\text{VALLEY}} - T_{\text{REGION}}\). Following the arguments presented in Juang et al. (2007) and Luyssaert et al. (2014), the expected change in surface temperature \((\Delta T_s)\) for a given change in incident short-wave solar radiation \((\Delta R_{\text{SW}})\) and/or incident long-wave solar radiation \((\Delta R_{\text{LW}})\) may be expressed as:

\[
\Delta T_s = \frac{1}{4\sigma T_s^3 + \eta} (1 - \sigma) \Delta R_{\text{SW}} + \Delta R_{\text{LW}} 
\]

(4)

Here, \(\sigma\) is the Stefan-Boltzmann constant, \(\alpha\) is albedo, and the parameter \(\eta\) incorporates aerodynamic and eco-physiological controls on sensible and latent heat fluxes (Juang et al., 2007). The \(\alpha\) was assumed to be 0.20 and \(\eta\) was assumed to be 40 W m2 K−1, which are typical growing season values for the southeastern U.S. grasslands that typically underlie climate monitoring stations (Juang et al., 2007). The \(\Delta R_{\text{SW}}\) was determined as the difference in solar radiation measured at CS01 and the mean solar radiation observed across the network of meteorological stations. Long-wave radiation was observed on top of the Coweeta flux tower, and was not observed at the regional meteorological stations. Thus, \(\Delta R_{\text{LW}}\) was estimated from an
empirical relationship between incident short- and long-wave radiation measured on the Coweeta tower, as described in detail in the SI, noting that in general the $\Delta T_s$ effects on $\Delta T_a$ were small.

To test the functionality of the model, we compared the corrected $T_{\text{VALLEY}} - T_{\text{REGION}}$ to the $T_{\text{VALLEY}} - T_{\text{REGION}}$ observed on days when the valley and region were relatively cloud-free. To identify clear days, we used a ‘clear sky index’ defined as the daily-averaged ratio of the observed $R_g$ to the theoretical maximum incident shortwave radiation under cloud-free conditions ($R_{g,\text{max}}$):

$$\text{Clear Sky Index} = \frac{R_g}{R_{g,\text{max}}(\text{daily-averaged})} \quad (5)$$

The $R_{g,\text{max}}$ was calculated as the sum of the beam and diffuse irradiance on a horizontal surface as described in Appendix S3 of the SI.

Table 1  The parameters of the models for gross ecosystem productivity and ecosystem respiration (Eqns 1–3). Numbers in parentheses show 95% confidence intervals for the Coweeta parameters, as derived from a nonparametric bootstrap with 1000 iterations. Data availability is higher at Duke Forest (n = 5000 and 2500 hourly observations to parameterize Eqns 1–3, respectively) compared to Coweeta, where the record is shorter and filtering to remove observational bias driven by topography and footprint constraints is extensive (n ≈ 1000 hourly observations of RE and GEP). Thus, confidence intervals on the Duke Forest parameters are very small and not shown.

<table>
<thead>
<tr>
<th></th>
<th>x μmol J⁻¹</th>
<th>β₀ μmol m⁻² s⁻¹</th>
<th>k</th>
<th>R₀d μmol m⁻² s⁻¹</th>
<th>Q</th>
</tr>
</thead>
<tbody>
<tr>
<td>Coweeta</td>
<td>0.077 (0.070–0.0842)</td>
<td>36.4 (32.5–40.6)</td>
<td>0.10 (0.02–0.18)</td>
<td>3.65 (3.26–4.03)</td>
<td>2.08 (1.54–2.74)</td>
</tr>
<tr>
<td>Duke Forest</td>
<td>0.101</td>
<td>42.6</td>
<td>0.11</td>
<td>5.59</td>
<td>1.62</td>
</tr>
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Direct observations of cold air drainage effects on ecosystem respiration

Direct observations of the effect of cold air drainage on ecosystem-scale fluxes are challenged by the fact that periods of intense cold air drainage typically occur coincident with periods of significant advection of CO₂ into the flux tower footprint. However, there does exist a small window of time in the early evening between sunset and midnight when drainage flows may already be affecting temperature dynamics, but vertical and horizontal advection of CO₂ is still minimal, such that the total NEP (which during this time period is dominated by RE) may be accurately estimated from the sum of turbulent and storage flux observations (van Gorsel et al., 2009; Novick et al., 2014). Thus, to demonstrate a direct effect of cold air drainage on RE, we bin all available data collected between sunset and midnight according to the intensity of cold air drainage using T_{VALLEY}–T_{MIDSLOPE} as a proxy, and average the RE observations within each bin. We limit this analysis to data collected when soil moisture was between 0.30 and 0.46 m³ m⁻³ to avoid any confounding effects from soil moisture limitations.

Utilizing ecosystem-scale models to quantify drainage flow, lapse rate, and radiation effects on carbon fluxes

We complement direct observations of drainage flows with a modeling exercise to infer the effects of not only drainage flows, but also elevation-driven lapse rate and radiation gradients, on RE, GEP and NEP over the course of a representative 24-h growing season period.

Toward that end, we forced the model of Eqn 1, parameterized for the Coweeta site, with (i) the observed valley temperature, (ii) the regional temperature time series, (iii) the regional temperature time series after correcting for the regional lapse rate (taken to be 5.5 °C km⁻¹, after Bolstad et al., 1998), and (iv) the regional time series after correcting for differences in radiation between the valley and the region using Eqn 4.

A complimentary analysis was performed to explore the effect of drainage flows on GEP. After parameterizing the GEP model of Eqns 2 and 3 (see Table 1), we forced the model with the observed regional Rₚ and D time series. We also forced the model with estimates of D adjusted for lapse rate and radiation effects by modifying the saturation vapor pressure with corrected temperature series. The modeled estimates driven by the various meteorological time series were then averaged over the growing season according to time of day.

Exploring links between macro-climate condition, drainage flows, and local microclimate and carbon cycling

Drainage flows have been reported to proceed more readily under clear, dry macro-climate conditions (Gudiksen et al., 1992). To explore the links between increasingly dry macroclimate, drainage flows, and carbon fluxes in our study area, we used Eqns 1–3 to predict the dynamics of NEP, RE, and GEP inside and outside of the valley along a gradient of increasing D. When predicting the dynamics of NEP, RE and GEP, the mid-slope position was taken as representative of positions outside of the valley to avoid complications driven by spatial variation in radiation between the valley and the region at large.

The predicted patterns for NEP along the gradient of increasing D were compared to NEP observed in the Coweeta valley and the Piedmont tower sites. For the cross-site comparisons of trends in the predicted and modeled carbon fluxes along the D gradient, variables were normalized by their mean growing season value at low D (D < 0.2 kPa) to account for other factors besides drainage flow that might drive differences in carbon fluxes between the Coweeta valley and the Piedmont tower sites.

Sensitivity of leaf and soil temperature and respiration to drainage flow effects

A more mechanistic understanding of drainage flow effects on ecosystem respiration may be achieved by understanding how drainage flows independently affect the respiration of soil as compared to aboveground biomass. Toward that end, we assume that soil respiration is driven primarily by soil temperature, whereas respiration of aboveground biomass, and especially leaves, should be more closely coupled to air temperature. Observations of both soil and leaf temperature from the valley and midslope position were then integrated into previously published models for soil and leaf respiration developed using leaf- and chamber-level observations in the Coweeta basin (Mitchell et al., 1998; Nuckolls et al., 2009). The models have the same form as Eqn 1, that is:
where the subscript \( i \) indicates either 'soil' or 'leaf', the subscript \( j \) indicates topographic position (valley or midslope), and the subscript \( k \) indicates either soil temperature (in the case of soil respiration) or air temperature (in the case of leaf respiration). Following Nuckolls et al. (2009), \( a_{soil} \) was set to 0.152 and \( b_{soil} \) was set to 0. Following Mitchell et al. (1998), \( a_{leaf} \) was set 0.10 and \( b_{leaf} \) was set to \(-2\) (analogous to the parameterization for ecosystem respiration).

We considered two scenarios in this modeling exercise, with two unique analysis goals. In the first scenario, our objective was to isolate the effects of cold air drainage on the diurnal evolution of soil and leaf respiration in the valley as compared to the midslope position. Observations of soil and air temperature data from the mid-slope position were corrected for the local lapse rate (i.e., 5.5 °C km\(^{-1}\), Bolstad et al., 1998), and we assumed that \( R_{soil} \) and \( Q_i \) were unchanged between the valley and midslope position. In this case, the relative respiration rate \((i.e., R_{soil,VALLEY}/R_{soil,MIDSLOPE})\) becomes:

\[
R_i = R_{soil,VALLEY}^{Q_i^{T_{VALLEY}-h_i}}/R_{soil,MIDSLOPE}^{Q_i^{T_{MIDSLOPE}+h_i}}
\]

Bolstad et al. (1999), who synthesized leaf-level chamber-based measurements of the dark respiration rate from across the Coweeta Basin, reported that the \( Q_{leaf} \) of the four most dominant species in the Coweeta valley flux tower footprint (Acer rubrum, Quercus alba, Betula lenta, and Liriodendron tulipifera) ranged from 2.2 to 2.6. For the purposes of this exercise, the ‘canopy-averaged’ \( Q_{leaf} \) was set to 2.3. After Nuckolls et al. (2009), who synthesized chamber-based soil CO\(_2\) efflux observations from across the Coweeta basin, \( Q_{soil} \) was set to 2.71 (i.e., \( c \)).

The objective of the second modeling scenario was to explore the extent to which acclimations or adaptations to the region, consistent with expectations based on lapse rate effects, we find that during daytime periods, mean daytime \( T_{VALLEY} - T_{MIDSLOPE} \) in the Coweeta Basin was between 0.7 and 1.8 °C, indicating that the valley was warmer than the midslope position (Fig. 2c, \( P < 0.005 \) for the difference in the mean of all data collected at each location between 800 and 1600 h). However, at night, the mean nocturnal \( T_{VALLEY} - T_{MIDSLOPE} \) was negative ~80% of the time, and was ~2 °C on average, indicating the influence of cold air drainage (Fig. 2c, \( P < 0.0001 \) the difference in the mean of all data collected at each location before 700 h and after 1900 h).

When comparing the Coweeta basin to the region at large, we find that \( T_{VALLEY} \) was generally cooler than the region, consistent with expectations based on lapse rate effects, but it was particularly depressed at night (Fig. 2d, \( P < 0.0001 \)), which is not expected from lapse rate considerations and again indicates cold air drainage. On relatively clear days, air temperature in the Coweeta Valley was more similar to the region at large, but on clear nights, air temperature in the valley was suppressed even further (Fig. 2d, open triangles, \( P < 0.0001 \)). After accounting for the effects of suppressed solar radiation in the Coweeta Basin (see methods), the \( T_{VALLEY} - T_{REGION} \) was more similar to that observed under clear conditions (Fig. 2d, gray line) during daytime, but less similar during nighttime, which is a first indication that cold air drainage proceeds more readily in the Coweeta basin under clear sky conditions. The difference between temperature in the midslope position and the region at large was also
generally negative ($P < 0.0001$ for both daytime and nighttime periods), and as expected in the absence of a cold air drainage effect, the $T_{\text{MIDSLOPE}} - T_{\text{REGION}}$ was lowest during daytime periods (Fig. 2e).

The nocturnal vapor pressure deficit in the valley, which is strongly determined by the local temperature, was also generally lower than the midslope (Fig. 2f, $P < 0.0001$), and almost always lower than the surrounding region (Fig. 2g, $P < 0.0001$). Solar radiation tended to be suppressed in the Coweeta valley most days, representing the action of orographic rainfall and early morning fog events (Fig. 2h), although the result was not significant at the 95% confidence level ($P = 0.16$).

It is interesting to note that the mean nocturnal $T_{\text{MIDSLOPE}} - T_{\text{REGION}}$ (usually >$-1^\circ$C) was actually higher than that predicted by the wet adiabatic lapse rate (i.e., $-2^\circ$C, see Fig. 2e), which could indicate a compensating effect of cold air drainage whereby removal of cold air upslope elevates nocturnal temperature. However, an analysis of other climate stations in the Coweeta basin reveals that in some cases, the nocturnal temperature patterns were very well matched with those predicted from lapse rate considerations (see SI). Moving forward, we will focus our analysis on the extent to which cold air drainage affects temperature, and thus carbon cycling, in the valley position, although we will return to the possibility of compensating effects in the discussion.

Direct observations of the drainage effect on ecosystem respiration

As the intensity of cold air drainage increased (defined as increasingly negative $T_{\text{VALLEY}} - T_{\text{MIDSLOPE}}$), the observed NEP during the period between sunset and midnight decreased (Fig. 3). As NEP is dominated by respiration during this time window, this result offers direct observational evidence for suppression of RE by cold air drainage. To ensure that this decline in RE is a mechanistic response, and not an artifact of the tendency of flux observations to be underestimated during periods of significant CO$_2$ advection, we predicted the RE in each drainage flow class using Eqn 1 forced with the air temperature observed at either CS01 (valley) or CS17 (midslope), with uncertainty on these predictions generated by the range of $R_{\text{ref}}$ and $Q$ presented in Table 1. Predictions are well aligned with the observations, indicating that the observed decline in late-evening NEP under high-intensity drainage is explainable primarily by variation in temperature between the valley and midslope position, and is not an artifact of biases in the data themselves. Data considered in this analysis were filtered to within a relatively narrow range of soil moisture, although the results are conserved even when the soil moisture filter is removed. Or, in other words, this result does not represent greater soil moisture limitation when drainage flows are more intense.

Quantifying drainage flow, lapse rate, and radiation effects on carbon fluxes

Via its effect on temperature, we estimate that cold air drainage reduced growing season RE in the valley by $-8.1\%$ (Fig. 4), with a range of $4.5$–$13.0\%$ determined from the uncertainty on model parameters presented in Table 1. This relative reduction to RE amounts to about $66$ g C m$^{-2}$ per growing season (range of $37$–$107$ g C m$^{-2}$). The lapse rate and radiation effects accounted for an additional $39$ g C m$^{-2}$ (range of $20$–$66$ g C m$^{-2}$, or $2.4$–$8.1\%$) and $14$ g C m$^{-2}$ (range of $7$–$24$ g, or $1.7$–$3.0\%$) reduction to growing season RE (Fig. 4), respectively.

Fig. 3 Panel (a) shows the ‘late-evening’ (sunset–midnight) NEP as a function of late-evening $T_{\text{VALLEY}} - T_{\text{MIDSLOPE}}$, where the latter becomes more negative during more intense drainage flow events. Data are shown relative to those observed during the period of least intense drainage. The solid gray line in panel (a) shows the predicted change in NEP with drainage intensity as determined from the model of Eq. 1, driven by the air temperature trends in the valley and the mid-slope that are illustrated in panel (b) for reference. Dashed lines show the range of uncertainty in the prediction determined from the uncertainty in the parameters given in Table 1.
The difference in predicted GEP for the valley as compared to the region was negligible, as increases in regional GEP linked to greater incident radiation tended to be offset by decreases in GEP driven by stomatal closure under higher $D$. As a result, the ~8% (or 66 g) reduction in growing season averaged RE attributable to cold air drainage translated to a 10% (range of 6–16%) increase in net growing season carbon uptake (i.e., $|\text{NEP}|$). The enhancement to net annual carbon update due to growing season drainage flow effects is estimated to be even larger (17%, range of 10–27%), even without accounting for additional reductions RE due to drainage flows during the dormant season.

**Sensitivity of leaf and soil respiration to drainage flows**

Suppression of ecosystem respiration (RE) was mainly a function of drainage flows reducing air temperature and thus foliar respiration, rather than drainage flows affecting soil temperature and thus soil respiration. After correcting for lapse rate effects, the difference in air temperature between the valley and mid-slope position regularly exceeded several degrees, whereas the difference in lapse rate corrected soil temperature was typically on the order of 0.5 °C or less (Fig. 5a, b). Soil temperature in the valley was suppressed for a longer period of the day, reflecting the capacity of soil to store and conduct heat (Fig. 5a).

The nocturnal relative soil and leaf respiration rates were typically <1.0, indicating suppression of respiration by cold air drainage. The effect was greater on leaf-compared to soil respiration, with relative $R_{\text{soil}}$ on the order of 0.95 compared to relative $R_{\text{leaf}}$, which was on the order of 0.8 for most nocturnal periods (Fig. 5c, d). This result emerges from both modeling scenarios, where again the first scenario was designed to isolate drainage flow effects, and the second was designed to explore the extent to which adaptive $R_{\text{ref}}$ and $Q$ might alter the relative respiration rates. The high $R_{\text{leaf}}$ observed from approximately 0800 to 1200 h likely represented aspect effects, as the valley position receives more sunlight in the morning than the north-facing mid-slope location. The principle difference between the two modeling scenarios was limited to daytime relative $R_{\text{leaf}}$ estimates, when the assumption that $R_{\text{ref,leaf}}$...
and $Q_{\text{leaf}}$ increase in the midslope position increases the midslope $R_{\text{leaf}}$ during the day.

**Macro-climate and cold air drainage**

Drainage flows proceeded more readily under clear and dry macro-climate conditions. Mean nighttime $T_{\text{VALLEY}} - T_{\text{MIDSLOPE}}$ was most closely coupled to the clear sky index (Fig. 6a), the daily average $D$ (Fig. 6b), and the daily average difference between incident and outgoing long-wave radiation ($r^2 > 0.2$ and $P < 0.0001$ for all variables, see Table 2) observed in the valley position on the days preceding each nocturnal period. The relationships between $T_{\text{VALLEY}} - T_{\text{MIDSLOPE}}$ and the incident long- and short-wave radiation observed in the valley were also significant ($P < 0.025$) but weaker ($r^2 < 0.2$, Table 2). No relationship was observed between $T_{\text{VALLEY}} - T_{\text{MIDSLOPE}}$ and daily-averaged wind-driven variables, including atmospheric pressure (Table 2).

Drainage flows mediate the effect of variable macro-climate on carbon fluxes

Because cold air drainage proceeded more readily under higher $D$, the models project that nocturnal RE in the valley is suppressed when mean daily $D$ is high (relative to positions that do not experience cold air drainage, Fig. 6c). In contrast, little difference in the relationship between daytime RE and $D$ is projected within and outside of the valley (Fig. 6d). As the predicted trends in GEP were also similar for both locations (Fig. 6d), differences in the daily integrated NEP are expected to be driven principally by differences in nighttime RE.

Direct observations of nocturnal and daytime NEP highlight that while the simple model failed to capture the magnitude of the observed variation in NEP, it predicted the direction of trends well. In particular, observed nocturnal NEP decreased with increasing $D$. 

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**Fig. 6** The mean nocturnal (sunset–sunrise) temperature difference between the valley and mid-slope position, averaged over each night in the study period, as a function of the mean clear sky index (CSI, $P < 0.0001$, $r^2 = 0.3$, panel a) or mean daily vapor pressure deficit ($D$, $P < 0.0001$, $r^2 = 0.23$, panel b) observed during the 24-h period preceding sunrise. Panels (c) and (d) show the predicted change in ecosystem respiration (RE) and gross ecosystem productivity GEP as mean daytime vapor pressure deficit ($D$) increases inside (black lines) and outside (gray lines) of the valley. Panel (e) shows trends in the observed nighttime and daytime NEP observed at the Coweeta flux tower (black squares) or the Duke Forest flux tower (gray circles). Error bars show the standard error of the mean, and asterisks indicate whether the difference between the two sites is significant at the level of $0.001 < P < 0.05$ (*), or at the level of $P < 0.001$ (**).
radiation (Incident short-wave) and the day preceding each nocturnal period. The following day. Other variables were averaged over the course of the day preceding each nocturnal period. The P-value results form a two-tailed Student’s t-test for differences in the mean. The $r^2$ is the correlation coefficient.

<table>
<thead>
<tr>
<th>Variable</th>
<th>$p$</th>
<th>$r^2$</th>
<th>Slope</th>
</tr>
</thead>
<tbody>
<tr>
<td>Incident short-wave radiation ($R_d$)</td>
<td>$&lt;0.0001$</td>
<td>0.16</td>
<td>$-0.009$</td>
</tr>
<tr>
<td>Vapor pressure deficit ($D$)</td>
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<td>0.23</td>
<td>$-3.01$</td>
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<tr>
<td>Clear sky index (CSI)</td>
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<td>$-4.91$</td>
</tr>
<tr>
<td>Air temperature</td>
<td>$0.023$</td>
<td>$&lt;0.05$</td>
<td>$-0.073$</td>
</tr>
<tr>
<td>Wind speed</td>
<td>No relationship</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Atmospheric pressure</td>
<td>No relationship</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Incident long-wave radiation</td>
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<td>0.15</td>
<td>0.026</td>
</tr>
<tr>
<td>Friction velocity</td>
<td>No relationship</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Incident – outgoing – long-wave radiation</td>
<td>$&lt;0.001$</td>
<td>0.34</td>
<td>0.056</td>
</tr>
</tbody>
</table>

in the Coweeta valley, but not the Piedmont site (Fig. 6e). Further, in both sites, daytime NEP was enhanced under higher $D$, but with larger relative increases in the Coweeta valley most of the time (Fig. 6e)

**Discussion**

Cold air drainage flows occurred frequently during the growing season, promoting temperature inversions characterized by nocturnal valley temperatures that were on average ~2 °C cooler, and occasionally more than ~5 °C cooler, than the midslope position (Fig. 2c). Our results demonstrate that the effect of cold air drainage on microclimate variables is not limited to nighttime periods, but rather is evident for several hours after sunrise and before sunset (Fig. 2), consistent with other work (Lundquist & Cayan, 2007). The decoupling between temperature in the valley as compared to the region at large confirms these results; while air temperature was usually lower in the Coweeta valley than the broader region, it was particularly low at night (Fig. 2d).

By driving models for RE and GEP with meteorological time series from the valley and region at large, we demonstrated that reductions to valley air temperature driven by cold air drainage reduced growing season averaged respiration by ~8.1% (ranging from 4.5–13%). Because drainage effects on GEP were negligible, this reduction to RE amounted to an enhancement to carbon uptake estimated to be ~10% during the growing season, and even higher (10–27%) on an annual basis.

Nocturnal cold air drainage proceeded more readily following low-humidity, clear days (Table 2, Fig. 6a, b), consistent with other studies (Barr & Orgill, 1989; Gudiksen et al., 1992). Thus, the correlation between enhanced nocturnal cooling in the valley and high $D$ led to decreases in valley RE along a gradient of increasing $D$. This is a counterintuitive result that is neither observed nor predicted in the Piedmont site (Fig. 6e), where dry growing season periods often correspond with increases in nocturnal temperature, $D$, and respiration even during mild drought conditions (Novick et al., 2015). Because drainage flows had a negligible effect on GEP, the relatively low valley RE during dry periods corresponded to overall greater valley [NEP] when $D$ was high. As much of the continental U.S. is expected to become drier in the future (Cook et al., 2015), the enhancements in cold air drainage on low-humidity days, and the impact of these enhancements on carbon fluxes in montane valleys, may represent a significant climate feedback.

Drainage flows in flux tower studies have been identified previously, but the inference space is limited to the challenges of interpreting tower-derived fluxes. Our efforts here possible due to a number of recent advancements in our ability to confidently interpret eddy covariance observations in complex terrain (Lee & Hu, 2002; Mammarella et al., 2007; Leuning et al., 2008; Feigenwinter et al., 2010; Novick et al., 2014). Nonetheless, biases in the flux data related to the complexity of the terrain may still persist, which injects some degree of uncertainty in our results.

The complimentary analysis of drainage flow effects on soil and leaf respiration, which relies on models developed using independent, chamber-based flux observations, gives us confidence that our results are not simply an observational bias. We inferred reductions to nocturnal $R_{soil}$ and $R_{leaf}$ on the order of 5% and 20%, respectively (Fig. 5c, d). If $R_{soil}$ is assumed to be ~70% of total ecosystem respiration, consistent with previous estimates from a range of other eastern U.S. forests (Schafer et al., 2003; Wayson et al., 2006; Giasson et al., 2013; Novick et al., 2015), and the $R_{leaf}$ modeled here is assumed to be representative of all aboveground biomass, then the reductions in nocturnal RE predicted from the leaf- and plot-level data amount to 0.7(0.95) + 0.3(0.8) = 0.095, or 9.5%. This is similar to the relative reductions inferred from the ecosystem-scale tower data (8.0%). We stress that this simple calculation serves principally to confirm that the inferred ecosystem-scale drainage flow effects are of a reasonable
magnitude, and does not represent a rigorous upscaling in space and/or time.

The analysis of soil and leaf respiration dynamics also allowed us to draw two important conclusions about the mechanisms underlying drainage flow effects on ecosystem respiration. First, we demonstrated that air temperature is much more variable than soil temperature, and more tightly coupled to changes in the cold air drainage regime over short (i.e., hourly to daily) time steps. Thus, the predicted and observed reductions to ecosystem respiration on clear, cloud-free days are most likely driven by reductions to leaf respiration. Second, by leveraging previously published estimates describing how $R_{\text{red}}$ and $Q$ vary with elevation, we were able to show how aclimative adjustments to these parameters might affect the relative respiration rates between the valley and midslope positions. We estimate that these aclimative effects are relatively small, except for the case of daytime leaf respiration (Fig 5c, d), which may reflect that fact that aclimation in complex terrain is challenged by the fact that lapse rates are generally positive during the daytime, but often negative at night (i.e., see Fig 1).

Other important environmental variables can change with topographic position in ways that are relevant to this study, including soil moisture and atmospheric CO$_2$ concentration. As we were principally interested in isolating the effects of cold air drainage on carbon fluxes via its effects on temperature and $D$, we limited most of our analysis to a relatively narrow range of soil moisture in both the Coweeta and Duke Hardwood sites. However, we note that our results are largely unchanged even if that filter is removed, which would suggest that soil moisture plays a smaller role in limiting ecosystem respiration in this biome. This conclusion is supported by previous work showing that soil moisture limits soil respiration in the Coweeta basin by <3% (Nuckolls et al., 2009), and that soil moisture limits ecosystem respiration in the Duke Hardwood site only during especially severe and rarely occurring drought events (Novick et al., 2015). GEP is generally more sensitive to declining soil moisture than respiration (Ciais et al. 2003, Novick et al., 2015). However, as the Coweeta Basin receives considerably more precipitation than other parts of the region (between 1800 and 3000 mm, Laseter et al., 2012), it is unlikely that interactions between cold air drainage, temperature, and soil moisture during drought events would cause net carbon uptake to be more strongly reduced in the valley when compared to the region at large. Nonetheless, we certainly view this as a hypotheses worth testing, as previous work demonstrates that interactions between soil moisture, temperature, and macroclimate in complex terrain can produce surprising results (Vesala et al., 2009; Crimmins et al., 2011), and may be amplified in more xeric biomes.

With respect to atmospheric CO$_2$, cold air drainage enhances CO$_2$ within and above the canopy during the nighttime and occasionally during early- to mid-morning (Novick et al., 2014). These enhancements to CO$_2$ concentration could impact early morning photosynthesis in interesting ways which our data did not permit us to explore in detail in this particular study.

Finally, while cold air drainage clearly suppresses nocturnal temperature, and thus respiration, in valley positions, it is possible that cold air drainage may enhance temperature, and thus respiration, in upslope positions. As illustrated in Fig. 2e, the nocturnal temperature at the midslope position, when compared to the regional at large, is elevated at night over that predicted from the wet adiabatic lapse rate (~2 °C). However, the same result was not observed for all climate stations in the basin (see SI), where in some locations nocturnal temperature was well aligned with that predicted from lapse rate effects. Even if a basin wide enhancement to nocturnal RE at higher elevations did exist, it would be compensated by reductions to daytime RE driven by lapse rate effects (see Fig. 2e and the SI), as well as potential enhancements to GEP associated with relatively lower daytime $D$ in upslope positions. Our data do not allow us to fully disentangle all of these compensatory effects across the entire basin, although our results certainly motivate additional work to explore these interactions.

In summary, our work shows that frequent cold air drainage in the Coweeta valley suppressed growing season RE by ~8.1% (Figs 3 and 4), but had little effect on GEP, leading to enhancements of carbon uptake that were >10% and >15% on a growing season and annual basis, respectively, via mechanisms that are not well represented in terrestrial ecosystem models (Rotach et al. 2014). We also show that drainage flows evolve more easily on clear, low-humidity days (Fig. 6), such that nocturnal RE in the valley position decreases, and [NEP] increases, with increasing $D$. Given that $D$ and solar radiation are variables that change significantly during drought periods (Oishi et al., 2010), our results imply that cold air drainage could buffer valley forest NEP from the effects of droughts, which are expected to increase in frequency and severity under climate change (Cook et al., 2015). This work should motivate further efforts to explore and model how cold air drainage and other physiographic processes might decouple local climate from macroscale conditions in ways that have significant effects on eco-physiological functioning.
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Supporting Information

Additional Supporting Information may be found in the online version of this article:

Appendix S1. Micro-climate dynamics within the Coweeta Basin inferred from a broader set of climate stations.

Figure S1. The location of the five long-running climate stations in the Coweeta Basin.

Figure S2. The mean hourly growing season temperature difference between CS01 and the other four climate stations within the basin.

Figure S3. The mean daily nocturnal temperature difference between CS01 and the other four stations in the network as a function of the mean daily vapor pressure deficit (D) recorded at CS01 on the preceding day.

Figure S4. The mean hourly growing season temperature difference between Climate Stations 17, 21, 8 and 77 and the region at large.

Appendix S2. Characteristics of meteorological stations used in the regional analysis, and quality control of those data: All meteorological stations used in the study are described in Table S2

Table S2. Characteristics of the meteorological stations.

Figure S5. The mean hourly difference in growing season temperature between CS01 and the USCRN stations only.

Appendix S3. Calculating the clear sky index.

Appendix S4. The energy balance model to determine the temperature changes attributable to differences in solar radiation between the Coweeta basin and the broader region.

Figure S6. The mean hourly incident growing season shortwave radiation (left-panel) and incident long-wave radiation (right panel) measured on the Coweeta flux tower.